

 <div style="text-align: center;"> Financial Assistance Award DENALI COMMISSION 510 L Street, Suite 410 Anchorage, Alaska 99501 (907) 271-1414 (phone) (907) 271-1415 (fax) www.denali.gov </div>		Award Number	01556-00		
		Award Title	CDC Arctic Investigations Program Research Coordinator		
		Performance Period	October 1, 2016 through February 16, 2020		
Authority 112 Stat 1854	CFDA Number 90.100	<u>Recipient Organization & Address</u> Yukon Kuskokwim Health Corporation PO BOX 528 Bethel, AK 99559-0528 Phone: [NoPhoneRecord] Recipient DUNS # 082508961 TIN # 920041414			
Denali Commission Finance Officer Certification					
<u>Cost Share Distribution Table</u>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$30,000.00		\$0.00		\$30,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
Total	\$30,000.00	\$0.00	\$0.00	\$0.00	\$30,000.00
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
Signature of Authorized Official - Denali Commission Electronically Signed		Typed Name and Title Mr. Jay Farmwald Director of Programs		Date 09/25/2018	

AWARD ATTACHMENTS

Yukon Kuskokwim Health Corporation

01556-00

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1. Terms and Conditions
 2. Attachment A
 3. Budget and Funding Summary
 4. Attachment B

**Financial Assistance Award Terms and Conditions
Between the Denali Commission and Yukon Kuskokwim Health Corporation
for CDC Arctic Investigations Program Research Coordinator
Award No. 1556**

1. Project Summary

- a. Scope of Work: Provide a Research Coordinator to assist with AIP-CDC research studies.
- b. Deliverables: Completed infectious disease research studies.
- c. Budget: The Commission is making \$30,000 available for the project via this Financial Assistance Award (FAA). These funds were transferred to the Commission by the Centers for Disease Control (CDC) via Interagency Agreement 17FED1708798 & 17FED1708799 under the authority of P.L. 112-141(MAP 21). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: YKHC direct hire of a Research Coordinator.
- e. Performance Period: The Period of Performance for this FAA is October 1, 2016 thru February 16, 2020. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at www.denali.gov.

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, schedule and key milestones are included in Attachment A, dated August 20, 2018 and Attachment B dated November 10, 2018.

2. Project Reporting and Commission Site Visits

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at www.denali.gov/dcpdb.

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

3. Payments

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. At a minimum, all 270's must include summary cost information on labor, materials, contracts/consultants, and indirect costs. Detailed documentation is required for any single expenditure greater than \$50,000. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

4. Modifications

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions, and request prior approvals from the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

5. Financial Management, Record Keeping, Internal Controls, and Audits

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

6. Direct and Indirect Costs

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

7. Sub-Awards and Contracts

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

8. Acknowledgement of Support

The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to the work supported by this FAA. If Commission funds are used for construction, the Recipient shall also display a sign at the construction site that acknowledges the Commission's support. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

9. Real and Personal Property

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

10. Conflict of Interest

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

11. Denali Commission Policies

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

12. Laws and Regulations

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

13. Other Provisions

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

14. Special Provisions

Progress Reports: The first progress report submitted will cover period October 1, 2016-September 30, 2018. This report will be due October 30th, 2018. Thereafter semiannual progress reports will be submitted. The first semiannual report will cover period October 1, 2018- March 31, 2019 and will be due April 30, 2019.

Pre-award Costs: Back to 1 October 2016

Key Staff: Chris Desnoyers-Research Coordinator

Advance Payments: n/a

Federal Property Interests and Reporting Requirements: n/a

Denali Commission Policies: n/a

Bonds and Insurance: n/a

15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	YKHC
Kristen Arnold Program Specialist 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-15239 Fax: 907-271-1415 E-mail: karnold@denali.gov	Chris Desnoyers, MBA Research Coordinator YKHC Medical Director P.O. Box 528 Bethel, AK 99559 P: (907) 543-6142 F: (907) 543-6030 Christine_Desnoyers@ykhc.org
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Sherry Smith Director of Finance Operations PO Box 528 Bethel, AK 99559-0528 Phone: 907-543-6942 Fax: 907- 543-6029 Email: sherry_smith@ykhc.org

**Attachment A
To The
Financial Assistance Award Terms and Conditions
Between the Denali Commission and Yukon Kuskokwim Health Corporation
For CDC Arctic Investigations Program Research Coordinator
Award 1556**

- a. Scope of Work: Reference Attachment B dated 10 November 2016
- b. Deliverables: Reference Attachment B dated 10 November 2016
- c. Budget: The Commission is making \$30,000 available for this project via this Financial Assistance Award (FAA). These funds were transferred to the Commission by the Centers for Disease Control (CDC) via Interagency Agreement 17FED1708798 & 17FED1708799. Detailed budget and funding is summarized on page 2.
- d. Delivery Method: Reference Attachment B dated 10 November 2016
- e. Schedule: The period of performance for this project is October 1, 2016 through February 16, 2020.

Milestones

- 1. October 2016-YKHC hires a Research Coordinator to support ongoing CDC research projects.
- 2. October 2106-Coordinator begins executing administrative tasks that ensure the integrity of ongoing AIP-CDC studies in the YK Delta.
- 3. February 2020-Coordinator ends executing administrative tasks that ensure the integrity of ongoing AIP-CDC studies in the YK Delta.

BUDGET				
Line	Item	By	Amount	Basis/Notes
1	Research Coordinator	YKHC	\$30,000	salary and fringe .25 FTE
2	Total		\$30,000	
Per CDC and YKHC, no indirect costs are included in this award; all costs are direct.				
FUNDING				
Line	Source	Award or Reference	Amount	Notes
3	Denali Commission	This Action	\$30,000	CDC funds provided to Commission via IAA - 17FED1708798 & 17FED1708799
4	Total Funding Available As Of This Action		\$30,000	

The funds provided via this Award will support the Coordinator position that was initially funded as a part of Award 1513, which was for one specific study (RSV). Since the Coordinator position will now support several studies, CDC requested that a separate Award be established for the Research Coordinator.

Attachment B
Scope of Work
17FED1708798 & 17FED1708799

Centers for Disease Control Arctic investigations Program through the Denali Commission and to YKHC will provide \$30,000 for a Research Coordinator. This position will provide general support to ongoing CDC AIP studies in the Bethel region.

The Research Coordinator will coordinate and assist with AIP-CDC research with YKHC participants:

1. Studies include the following plus additional future approved studies
 - a. Adult RSV and ongoing RSV surveillance in children
 - b. MRSA intervention in Chefnak
 - c. H pylori 10-year follow up
 - d. Hepatitis B Vax Demo 35 year
 - e. Pneumococcal Carriage (if we find funding for a Spring 2017 study)
2. The Research Coordinator will provide the following support as needed for each study:
 - a. Supervises YKHC research personnel, including hiring, managing work schedules, ensuring timely completion of training and study activities.
 - b. Supervises maintenance of YKHC study records for active studies.
 - c. Manages data: Supervises YKHC data entry. Reviews data entry and consents for completeness.
 - d. Assists PI in interim reports, renewals and final reports for YKHC/IRB as needed.
 - e. Assist with development of study guidelines (SOPs) and personnel training for active studies.
 - f. Maintain YKHC study supplies.
 - g. Assists PI and financial director in managing finances for studies
 - h. Assist PI with MOUs, contracts and sub-contracts
 - i. Assist AIP staff with obtaining clinic space, coordinating trips with village Operations, and in scheduling meetings and presentations,
 - j. Assist with specimen handling and storage.
 - k. Assist with Electronic Health Record data downloads associated with above studies.
3. The Research Coordinator will also be a YKHC liaison for AIP surveillance activities including H Pylori surveillance, general bacterial infection surveillance.